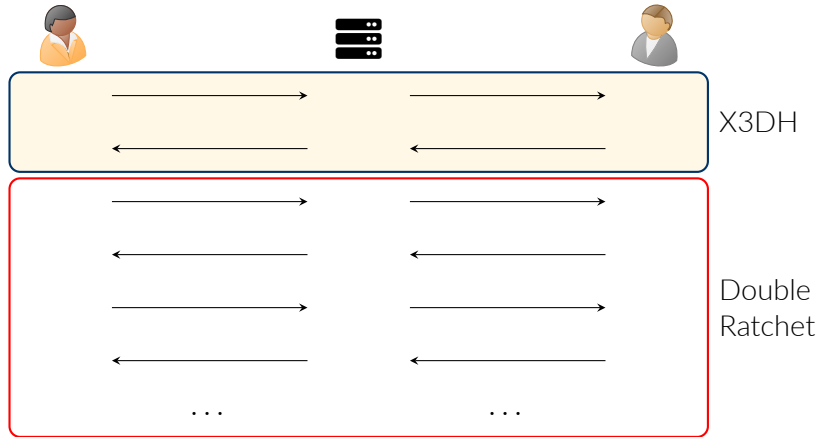


Post-Quantum X3DH

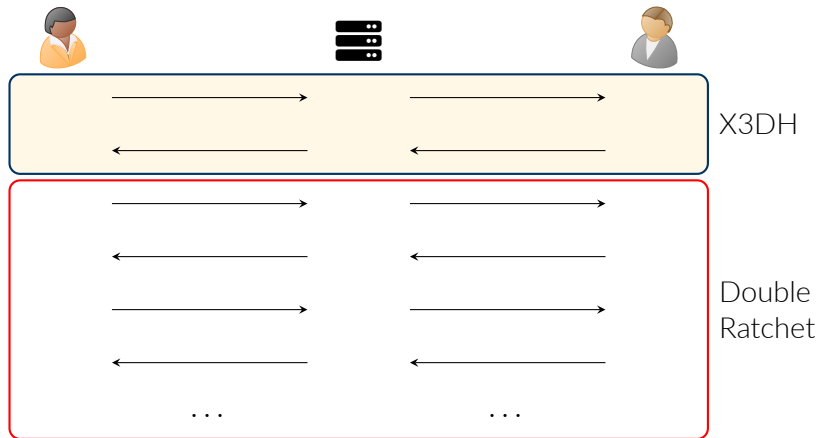
Thomas Prest (joint work with Keitaro Hashimoto,
Shu Katsumata and Kris Kwiatkowski)

PQShield



Secure instant messaging:

- Notable differences with TLS: asynchrony, long-lived sessions, etc.
- The security gold standard is the Signal protocol (used in Signal, WhatsApp, etc.)
- Two main components: X3DH and Double Ratchet



How about post-quantum/generic alternatives?

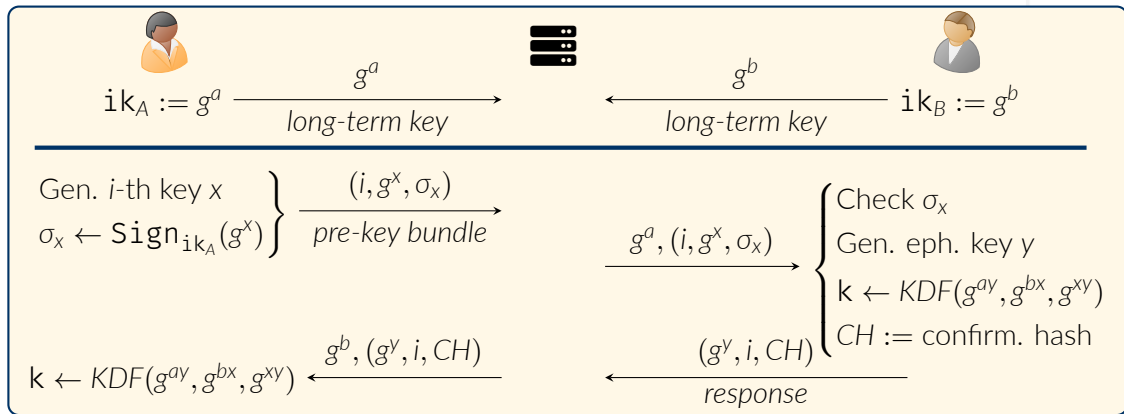
→ For Double Ratchet (generic): [ACD19]

→ For X3DH (semi-generic): this talk, based on [HKKP21]

We focus on X3DH:

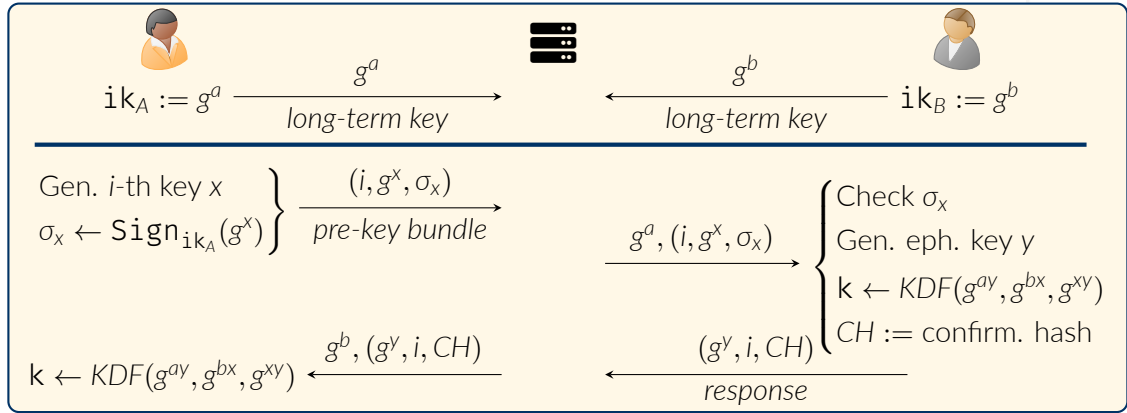
- ① What does it do?
- ② What properties do we expect from it?
- ③ How do we satisfy these properties in a PQ setting?
- ④ Can we provide a generic construction?

The end result is a PQ alternative (in various flavours) to X3DH.



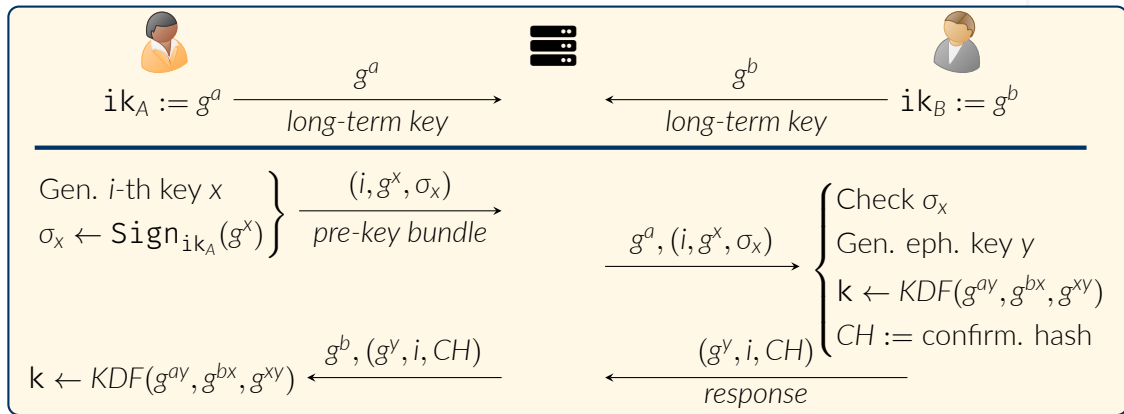
Initial comments:

- Builds upon 3DH (same protocol without σ_x and CH).
- Note the two-message flow and the “receiver-obliviousness” of pre-key bundle.
- Parties delete ephemeral keys (x and y) as soon as they can (omitted in figure).

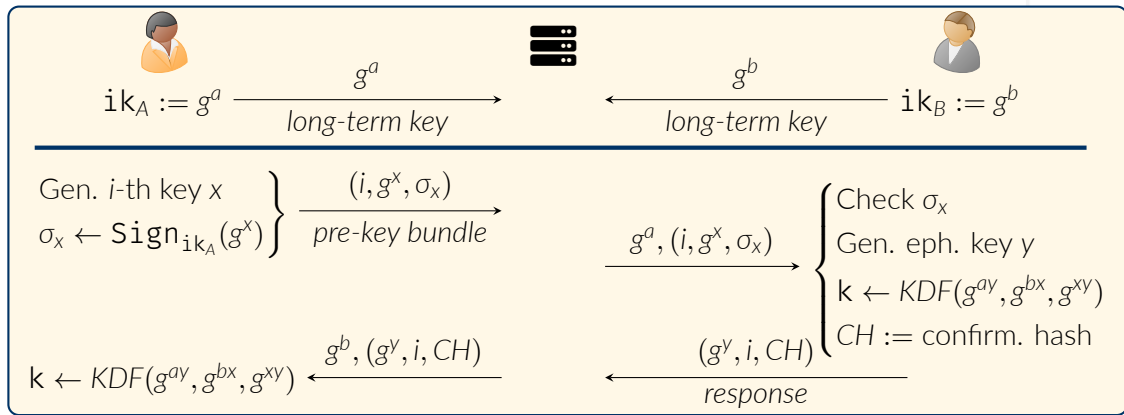


CH is essentially a confirmation hash in disguise [MP16]:

“ [CH is a] ciphertext encrypted with some AEAD encryption scheme using $[AD = \text{Encode}(ik_A) \parallel \text{Encode}(ik_B)]$ as associated data and using an encryption key which is either $[k$ or derived from $k]$. ”

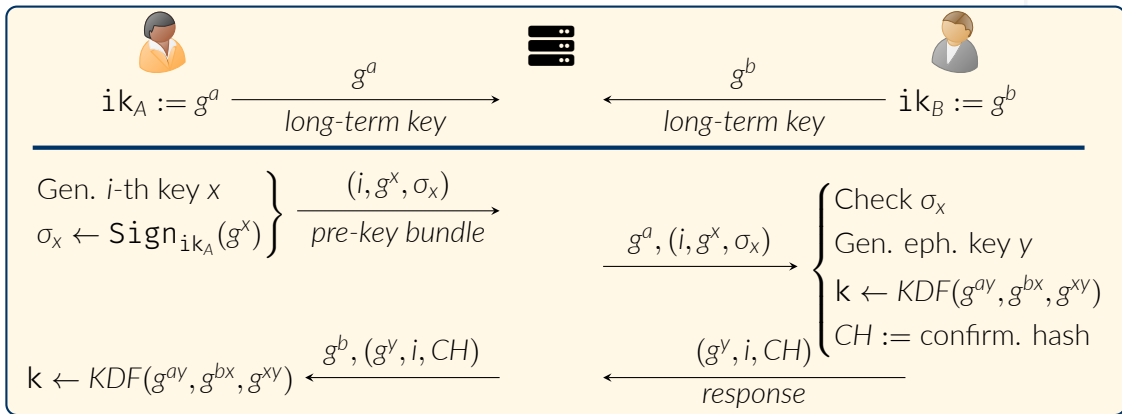


“ Note that $[g^{ay}]$ and $[g^{bx}]$ provide mutual authentication, while $[g^{xy}]$ provides forward secrecy. ”



A few comments on this topic:

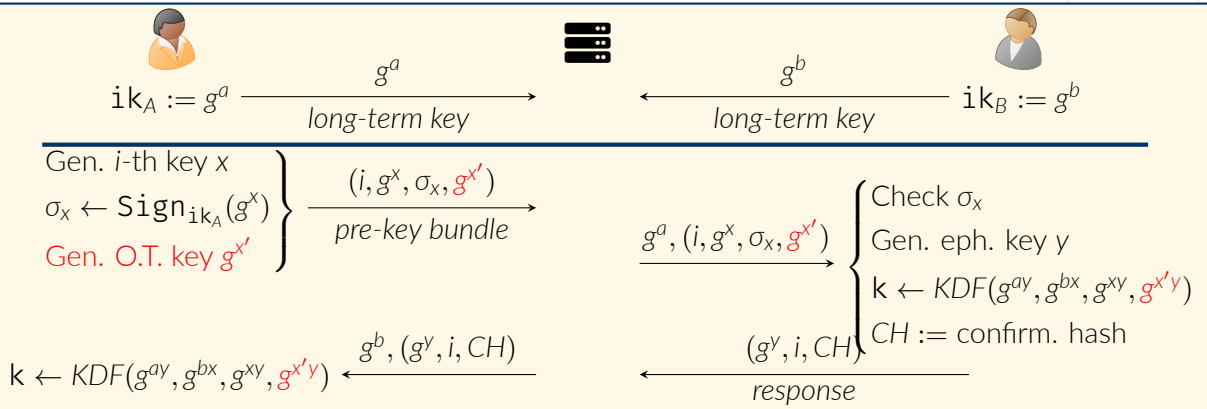
- k is hidden as long as adversary doesn't learn $(a \wedge x) \vee (b \wedge y) \vee (x \wedge y)$.
- CH "upgrades" implicit authentication of Bob to explicit.



“ X3DH doesn’t give either Alice or Bob a publishable cryptographic proof of the contents of their communication or the fact that they communicated. ”

- ➔ X3DH may only achieve *offline* (as opposed to *online*) deniability [MP16].
- ➔ Adversarial model: *semi-honest* (malicious under stronger assumptions [VGIK20]).

X3DH: one-time keys (optional)



X3DH allows to provide an **optional one-time key**.

- ➔ *Motivation*: forward secrecy and protection against replay attacks
- ➔ *Replay attacks*: there are simpler ways to thwart them
- ➔ *Forward secrecy*: largely addressed by signed pre-keys and Double Ratchet

Functional properties:

- *Two-message flow*: Initiator → Responder → Initiator
- *Receiver-obliviousness*: first message is independent of the Responder¹

Security properties:

- *Confidentiality*: session keys looks pseudorandom except for intended parties
 - Weak perfect forward secrecy (wPFS)
 - Perfect forward secrecy (PFS)
- *Mutual authentication*: Initiator knows she talks to Responder, and reciprocally
 - Includes *Key-Compromise Impersonation* (KCI) attacks²
 - Includes *Unknown Key-Share* (UKS) attacks³
- *Deniability*: ~~online~~/offline, against semi-honest/malicious adversaries

¹Also known as *post-specified peers*.

²KCI: The adversary uses A's long-term key to impersonate other parties towards A.

³UKS: A and B compute the same key, but A believe he's talking to C.

Natural approach #1: replace classical Diffie-Hellman by post-quantum variant.

- ① *Noisy Diffie-Hellman* (lattices and codes) [DXL12, Pei14, ADPS16]
 - > Doesn't support static keys [Flu16, DFR18]
- ② Gap variant of CSIDH (isogenies) [dKsV20, KTAT20, BFG⁺20]
 - > Slow
 - > Quantum security of *standard* CSIDH is debated [BLMP19, BS20, Pei20, CCJR20]
- ③ SIDH and variants thereof (isogenies) [DG21]
 - > Uses a SIDH proof of knowledge [FDGZ21] to thwart adaptive attacks [GPST16].
 - > The size of the SIDH proof of knowledge needs to be determined.

Natural approach #2: build a protocol that captures as many properties of X3DH as possible using only generic building blocks.

→ KEMs

→ PRFs

→ Signatures

→ etc.

A first generic construction (v0)


$$\mathcal{L}sk_A := (sk_A, dk_A)$$
$$\mathcal{L}pk_A := (vk_A, ek_A)$$

$$\mathcal{L}sk_B := (sk_B, dk_B)$$
$$\mathcal{L}pk_B := (vk_B, ek_B)$$
$$\left. \begin{array}{l} (ek_T, dk_T) \leftarrow \text{KEM.Keygen}() \\ \sigma_A \leftarrow \text{Sign}_{sk_A}(ek_T) \end{array} \right\}$$
$$\xrightarrow{\mathcal{L}pk_A, ek_T, \sigma_A}$$
$$\left. \begin{array}{l} k_A \leftarrow \text{Decaps}(dk_A, c_A) \\ k_T \leftarrow \text{Decaps}(dk_T, c_T) \\ k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid}) \end{array} \right\}$$
$$\text{Verify}_{vk_B}(\sigma_B, \text{sid})$$
$$\xleftarrow{\mathcal{L}pk_B, c_A, c_T, \sigma_B}$$
$$\left\{ \begin{array}{l} \text{Verify}_{vk_B}(\sigma_A, ek_T) \\ (c_A, k_A) \leftarrow \text{Encaps}(ek_A) \\ (c_T, k_T) \leftarrow \text{Encaps}(ek_T) \\ k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid}) \\ \sigma_B \leftarrow \text{Sign}_{sk_B}(\text{sid}) \end{array} \right.$$

Initial comments:

- $\text{sid} = (\mathcal{L}pk_A || \mathcal{L}pk_B || ek_A || c_A || c_T)$ is the session identifier.
- Omitted for clarity: k_A and k_T may be processed by randomness extractors.

A first generic construction (v0)



$\mathcal{L}sk_A := (sk_A, dk_A)$

$\mathcal{L}pk_A := (vk_A, ek_A)$



$\mathcal{L}sk_B := (sk_B, dk_B)$

$\mathcal{L}pk_B := (vk_B, ek_B)$

$(ek_T, dk_T) \leftarrow \text{KEM.Keygen}()$
 $\sigma_A \leftarrow \text{Sign}_{sk_A}(ek_T)$


$k_A \leftarrow \text{Decaps}(dk_A, c_A)$
 $k_T \leftarrow \text{Decaps}(dk_T, c_T)$
 $k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid})$

$\text{Verify}_{vk_B}(\sigma_B, \text{sid})$

$\mathcal{L}pk_A, ek_T, \sigma_A$

$\mathcal{L}pk_B, c_A, c_T, \sigma_B$

$\text{Verify}_{vk_B}(\sigma_A, ek_T)$
 $(c_A, k_A) \leftarrow \text{Encaps}(ek_A)$
 $(c_T, k_T) \leftarrow \text{Encaps}(ek_T)$
 $k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid})$
 $\sigma_B \leftarrow \text{Sign}_{sk_B}(\text{sid})$

- Leakage of long-term keys: secrecy of k is guaranteed by ek_T and c_T
- State leakage (irrelevant for ): secrecy of k is guaranteed by c_A
- KCI: prevented by σ_B
- UKS: prevented by sid and ek_A

A first generic construction (v0)


$$\mathcal{L}sk_A := (sk_A, dk_A)$$
$$\mathcal{L}pk_A := (vk_A, ek_A)$$

$$\mathcal{L}sk_B := (sk_B, dk_B)$$
$$\mathcal{L}pk_B := (vk_B, ek_B)$$
$$\left. \begin{array}{l} (ek_T, dk_T) \leftarrow \text{KEM.Keygen}() \\ \sigma_A \leftarrow \text{Sign}_{sk_A}(ek_T) \end{array} \right\}$$
$$\xrightarrow{\mathcal{L}pk_A, ek_T, \sigma_A}$$
$$\left. \begin{array}{l} k_A \leftarrow \text{Decaps}(dk_A, c_A) \\ k_T \leftarrow \text{Decaps}(dk_T, c_T) \\ k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid}) \end{array} \right\}$$
$$\text{Verify}_{vk_B}(\sigma_B, \text{sid})$$
$$\xleftarrow{\mathcal{L}pk_B, c_A, c_T, \sigma_B}$$
$$\left\{ \begin{array}{l} \text{Verify}_{vk_B}(\sigma_A, ek_T) \\ (c_A, k_A) \leftarrow \text{Encaps}(ek_A) \\ (c_T, k_T) \leftarrow \text{Encaps}(ek_T) \\ k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid}) \\ \sigma_B \leftarrow \text{Sign}_{sk_B}(\text{sid}) \end{array} \right.$$


→ is explicitly authenticated by his signature.

→ is implicitly authenticated since no one else than her may recover k .

A first generic construction (v0)

 $\mathcal{L}sk_A := (sk_A, dk_A)$ $\mathcal{L}pk_A := (vk_A, ek_A)$  $\mathcal{L}sk_B := (sk_B, dk_B)$ $\mathcal{L}pk_B := (vk_B, ek_B)$ $(ek_T, dk_T) \leftarrow \text{KEM.Keygen}()$
 $\sigma_A \leftarrow \text{Sign}_{sk_A}(ek_T)$ $k_A \leftarrow \text{Decaps}(dk_A, c_A)$
 $k_T \leftarrow \text{Decaps}(dk_T, c_T)$
 $k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid})$ $\text{Verify}_{vk_B}(\sigma_B, \text{sid})$ $\xrightarrow{\mathcal{L}pk_A, ek_T, \sigma_A}$ $\xleftarrow{\mathcal{L}pk_B, c_A, c_T, \sigma_B}$ $\text{Verify}_{vk_B}(\sigma_A, ek_T)$
 $(c_A, k_A) \leftarrow \text{Encaps}(ek_A)$
 $(c_T, k_T) \leftarrow \text{Encaps}(ek_T)$
 $k \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid})$
 $\sigma_B \leftarrow \text{Sign}_{sk_B}(\text{sid})$

Minor possible tweaks:

- Omit σ_A : Downgrades PFS to wPFS (note: Double Ratchet then provides PFS again)
- NAXOS trick: mitigates leakage of 's randomness by combining it with $\mathcal{L}sk_B$

A very weakly deniable version (V1)


$$\text{lsk}_A := (\text{sk}_A, \text{dk}_A)$$
$$\text{lpk}_A := (\text{vk}_A, \text{ek}_A)$$

$$\text{lsk}_B := (\text{sk}_B, \text{dk}_B)$$
$$\text{lpk}_B := (\text{vk}_B, \text{ek}_B)$$
$$\left. \begin{array}{l} (\text{ek}_T, \text{dk}_T) \leftarrow \text{KEM.Keygen}() \\ \sigma_A \leftarrow \text{Sign}_{\text{sk}_A}(\text{ek}_T) \end{array} \right\}$$
$$\xrightarrow{\text{lpk}_A, \text{ek}_T, \sigma_A}$$
$$\left. \begin{array}{l} k_A \leftarrow \text{Decaps}(\text{dk}_A, c_A) \\ k_T \leftarrow \text{Decaps}(\text{dk}_T, c_T) \\ k \parallel k_\sigma \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid}) \end{array} \right\}$$
$$\xleftarrow{\text{lpk}_B, c_A, c_T, c}$$
$$\left. \begin{array}{l} \sigma_B \leftarrow c \oplus k_\sigma \\ \text{Verify}_{\text{vk}_B}(\sigma_B, \text{sid}) \end{array} \right\}$$
$$\left. \begin{array}{l} \text{Verify}_{\text{vk}_B}(\sigma_A, \text{ek}_T) \\ (c_A, k_A) \leftarrow \text{Encaps}(\text{ek}_A) \\ (c_T, k_T) \leftarrow \text{Encaps}(\text{ek}_T) \\ k \parallel k_\sigma \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid}) \\ \sigma_B \leftarrow \text{Sign}_{\text{sk}_B}(\text{sid}) \\ c \leftarrow \sigma_B \oplus k_\sigma \end{array} \right\}$$

We can tweak the protocol to achieve a weak flavour of deniability for free.

- We mask σ_B using a pseudorandom keystream derived from k_A, k_T (tangerine).
- The transcript makes anonymous as long as doesn't cooperate.
- However if leaks σ_B , then is bound.

A weakly deniable version (V1.5)

 $\text{lsk}_A := (\text{sk}_A, \text{dk}_A)$ $\text{lpk}_A := (\text{vk}_A, \text{ek}_A)$  $\text{lsk}_B := (\text{sk}_B, \text{dk}_B)$ $\text{lpk}_B := (\text{vk}_B, \text{ek}_B)$ $(\text{ek}_T, \text{dk}_T) \leftarrow \text{KEM.Keygen}()$
 $\sigma_A \leftarrow \text{Sign}_{\text{sk}_A}(\text{ek}_T)$ $\xrightarrow{\text{lpk}_A, \text{ek}_T, \sigma_A}$ $k_A \leftarrow \text{Decaps}(\text{dk}_A, c_A)$
 $k_T \leftarrow \text{Decaps}(\text{dk}_T, c_T)$
 $k \parallel k_\sigma \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid})$
 $\sigma_B \leftarrow c \oplus k_\sigma$
 $\text{RS.Verify}_{\text{ring}}(\sigma_B, \text{sid})$ $\xleftarrow{\text{lpk}_B, c_A, c_T, c}$ $\text{Verify}_{\text{vk}_B}(\sigma_A, \text{ek}_T)$
 $(c_A, k_A) \leftarrow \text{Encaps}(\text{ek}_A)$
 $(c_T, k_T) \leftarrow \text{Encaps}(\text{ek}_T)$
 $k \parallel k_\sigma \leftarrow F_{k_A}(\text{sid}) \oplus F_{k_T}(\text{sid})$
 $\sigma_B \leftarrow \text{RS.Sign}_{\text{sk}_B, \text{ring}}(\text{sid})$
 $c \leftarrow \sigma_B \oplus k_\sigma$

- Main idea: replace signatures by *ring* signatures (pine green).
- Setting $\text{ring} = \{\text{vk}_A, \text{vk}_B\}$ only gives a weak flavour of deniability.

A deniable version (offline, semi-honest) (V2)



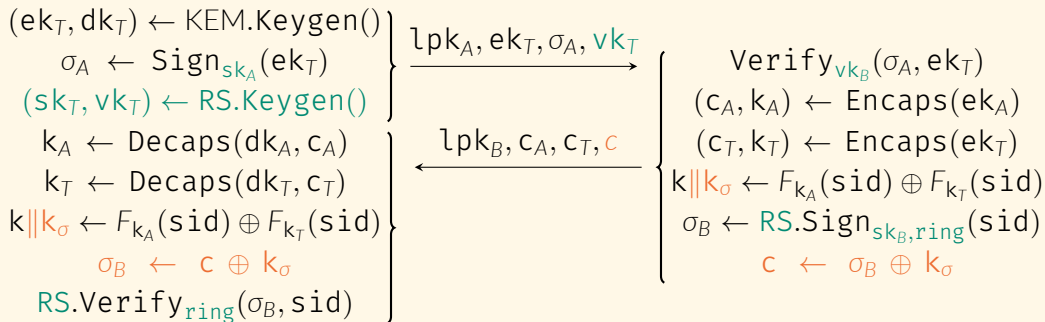
$\perp sk_A := (sk_A, dk_A)$

$\perp pk_A := (vk_A, ek_A)$



$\perp sk_B := (sk_B, dk_B)$

$\perp pk_B := (vk_B, ek_B)$



- Main idea: replace signatures by *ring* signatures (pine green).
- Setting $\text{ring} = \{vk_A, vk_B\}$ only gives a weak flavour of deniability.
- Setting $\text{ring} = \{vk_T, vk_B\}$ with vk_T an ephemeral key makes (V2) truly deniable.

Our protocols (V0-V2) are not deniable against *malicious* adversaries.
Example with the Raptor ring signature scheme:

Raptor [LAZ19] (\approx [RST01] + Falcon [PFH⁺17])

- Each public keys is a polynomial $\mathbf{vk}_i \in \mathbb{Z}_q[x]/(\varphi)$.
- A ring signature of \mathbf{msg} for the ring $(\mathbf{vk}_i)_{i \in \mathcal{R}}$ consists of:
 - > a salt \mathbf{salt} ,
 - > a short tuple $s_0 \parallel (s_i)_{i \in \mathcal{R}}$ such that:

$$s_0 + \sum_{i \in \mathcal{R}} s_i \cdot \mathbf{vk}_i = H(\mathbf{msg}, \mathbf{salt}) \quad (\star)$$

 can break 's deniability by setting her ephemeral ring signing key maliciously:

- 1 If $(\mathbf{vk}_T = 0)$, then (\star) becomes: $s_0 + s_B \cdot \mathbf{vk}_B = H(\mathbf{msg}, \mathbf{salt})$
- 2 If $(\mathbf{vk}_T = x^k)$, then (\star) becomes: $(s_0 + x^k \cdot s_T) + s_B \cdot \mathbf{vk}_B = H(\mathbf{msg}, \mathbf{salt})$

Our protocols (V0-V2) are not deniable against *malicious* adversaries.
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- 2 If $(\mathbf{vk}_T = x^k)$, then (\star) becomes: $(s_0 + x^k \cdot s_T) + s_B \cdot \mathbf{vk}_B = H(\mathbf{msg}, \mathbf{salt})$

See our paper for a more theoretical argument likely to encompass more (lattice-based) ring signature schemes.

Signature sizes for 2-users ring signatures vs standard signatures:

- *NTRU-based*: 2.5 KiB [LAZ19] vs 0.67 KiB [PFH+20]
 - *M-LWE/SIS-based*: 4.4 KiB [YEL+21] vs 2.3 KiB [LDK+20]
 - *CSIDH-based*: 3.5 KiB [BKP20] vs 0.26 KiB [BKV19]
-

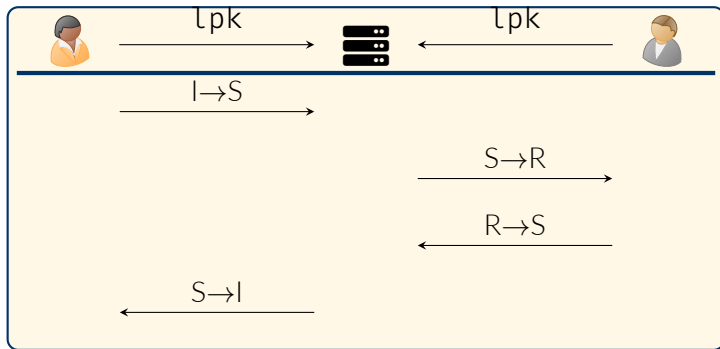
We can upgrade (V2) to a construction (V3) deniable against malicious adversaries:

- *Solution*: 🧑 sends a NIZK proof that she generated vk_T honestly
 - *Open question*: can we do that efficiently?
-

A recent paper [BFG+21] has a construction similar to (V2), but replaces ring signatures with designated-verifier signatures (DVS).

- [BFG+21] shows (Ring signatures \Rightarrow DVS).
- We show that (DVS \Rightarrow Ring signatures), so both are equivalent.

Instantiation of (VI) at NIST level I (\approx AES-128)



Scheme	CPU cycles	l_{pk}	$I \rightarrow S$	$S \rightarrow R$	$R \rightarrow S$	$S \rightarrow I$	Total
Falcon/Saber	4.2 MC	1569 B	1362 B	2931 B	2162 B	3731 B	10186 B
Falcon/SIKE	2614 MC	1227 B	1020 B	2247 B	1382 B	2609 B	7258 B
Dilithium/NTRU	6.9 MC	2011 B	3119 B	5130 B	3818 B	5829 B	17896 B
SPHINCS/Saber	269 MC	704 B	17760 B	18464 B	18560 B	19264 B	74048 B

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