

Thomas Prest (joint work w/ PQShield & friends)

November 6, 2024

Signatures

Lattice signatures

Example 1

compact

Lattice signatures

∷..
∷...
SHIELD

compact

This talk: focus on Raccoon

- → Masking-friendly [dPKPR24] and threshold-friendly [DKM⁺24]
- \rightarrow NIST PQC candidate [dEK⁺23], 2023-2024 (RIP in peace \bullet)
- \rightarrow Similar design also found in [ASY22, GKS24]

Raccoon: Schnorr over lattices

PQ SH

Raccoon.Keygen() *→* sk*,* vk

 $\mathbf{D} \mathbf{v} \mathbf{k} = \begin{bmatrix} \mathbf{A} & \mathbf{1} \end{bmatrix} \cdot \mathbf{sk}$, for \mathbf{sk} short.

Schnorr.Keygen() *→* sk*,* vk

 $\mathbf{J} \mathbf{v} \mathbf{k} = \mathbf{g}^{\mathsf{sk}},$ for sk uniform.

Raccoon.Sign(sk*,* msg) *→* sig

1 Sample a short **r**

$$
\textbf{Q} \ \mathbf{w} = \begin{bmatrix} \textbf{A} & 1 \end{bmatrix} \cdot \textbf{r}
$$

 $c = H(w, msg)$

$$
2 = r + c \cdot sk
$$

6 Output
$$
sig = (c, z)
$$

Raccoon.Verify(vk*,* msg*,* sig)

$$
\bullet \mathbf{w}' = \begin{bmatrix} \mathbf{A} & \mathbf{1} \end{bmatrix} \cdot \mathbf{z} - c \cdot \mathsf{vk}
$$

- 2 Assert $H(w', msg) = c$
- 3 Assert **z** is short

Schnorr.Sign(sk*,* msg) *→* sig

1 Sample *r*

$$
v = g^r
$$

$$
c=H(w,\text{msg})
$$

$$
2 z = r + c \cdot sk
$$

6 Output
$$
sig = (c, z)
$$

Schnorr.Verify(vk*,* msg*,* sig)

$$
w' = g^z \cdot v k^{-c}
$$

$$
Q\text{ Asset } H(w', msg) = c
$$

Security of Raccoon

EXPRISHIELD

 $\mathbf{D} \mathbf{vk} = \begin{bmatrix} \mathbf{A} & \mathbf{1} \end{bmatrix} \cdot \mathbf{sk}$, for \mathbf{sk} short.

Raccoon.Sign(sk*,* msg) *→* sig

$$
•
$$
 Sample a short **r**

$$
\textbf{Q} \ \ \textbf{w} = \begin{bmatrix} \textbf{A} & 1 \end{bmatrix} \cdot \textbf{r}
$$

$$
c = H(w, \text{msg})
$$

- \bullet **z** = **r** + **c** · **sk**
- **6** Output $sig = (c, z)$

Raccoon.Verify(vk*,* msg*,* sig)

 $\mathbf{D} \mathbf{w}' = \begin{bmatrix} \mathbf{A} & 1 \end{bmatrix} \cdot \mathbf{z} - \mathbf{c} \cdot \mathbf{v} \mathbf{k}$

- **2** Assert $H(w', msg) = c$
- **3** Assert **z** is short
- Raccoon is EUF‐CMA assuming:
	- 1 **Hint‐MLWE [KLSS23]**
	- 2 **Self‐target MSIS [KLS18]**

Hint‐MLWE assumption

(**A***,* vk) is pseudorandom even if given *Q* "hints":

 $(c_i, z_i = r_i + c_i \cdot sk), \quad i \in [Q]$ (1)

Note. Hint‐MLWE *≥* MLWE*^σ* if:

$$
\sigma_{\bm{r}} \geq \|c\| \cdot \sqrt{Q} \cdot \sigma
$$

 (2)

: PQSHIELD

Devices can be **compromised** by...

- **Malwares**
- Zero-day exploits
- **E** Human error
- 8.

Devices can be made **out of order** by...

- Network or energy failure D
- Attack on the infrastructure
- **Destruction**
- ...

Key idea: distribute trust across several devices

- → The two last solutions fall under **threshold cryptography**
- \rightarrow Main focus of the NIST MPTC programme (see Luis' talk tomorrow)
- \rightarrow Reminiscent of masking, but key differences in the attack model and properties

How design choices impact properties

Design choices

Properties and efficiency metrics

 \bullet \bullet

 $\frac{1}{2}$ $\frac{1}{2}$

How design choices impact properties

COLOR

 \mathbb{C} . PRSHIELD

Communication

- \rightarrow Authenticated, reliable & synchronous broadcast channel
- **→** Each *i* and *j* may share an authenticated private channel (via AEAD)

Syntax

- \rightarrow One public key vk
- \rightarrow Each user *i* has a secret key share sk_i
- \rightarrow Signing is an interactive protocol between *|S|* signers
	- ▶ Our protocols are 3-4 rounds
	- ¨ (*|S| < T*) *⇒ ⊥*
	- \triangleright ($|S| = T$) \Rightarrow sig a valid signature

Design choices

Shamir secret sharing

PQ CLI

Secret-sharing a secret *a* ∈ \mathbb{Z}_p :

- → Generate *P*(*x*) of degree at most *T* 1 such that *P*(0) = *a*
- → Each party *i* $\in \mathbb{Z}_p$ receives a share $a_iP(i)$

Shamir secret sharing

Properties:

- } With *< T* shares, *a* is perfectly hidden
- **◯ With a set** *S* of *T* shares, *a* can be recovered via Lagrange interpolation:

$$
a = \sum_{i \in S} \lambda_{i,S} \cdot a_i, \quad \text{where} \quad \lambda_{i,S} = \prod_{j \in S \setminus \{i\}} \frac{j}{i-j}
$$

(3)

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PQCL

Threshold Schnorr signatures

:: PQ SHIEL

Sparkle

Each signer *i* knows a share sk*ⁱ* of sk. \rightarrow Round 1: 1 Sample *rⁱ* $2 w_i = g^{r_i}$ \bullet com_{*i*} = $H_{\text{com}}(w_i, \text{msg}, \mathcal{S})$ 4 Broadcast com*ⁱ* \rightarrow Round 2: 1 Broadcast *wⁱ* **→ Round 3:** \bullet *w* = $\prod_i w_i$ $c = H(vk, msg, w)$ \bullet $z_i = r_i + c \cdot \lambda_{i,S} \cdot sk_i$ 4 Broadcast *zⁱ* \rightarrow Combine: the final signature is $(c, z = \sum_{i \in S} z_i)$

- \blacktriangleright See [BN06, CKM23]
- \blacktriangleright This produces valid Schnorr signatures:

$$
g^{z} = g^{\sum_{i} z_{i}} \\
= (g^{\sum_{i} r_{i}}) \cdot (g^{c \sum_{i} \lambda_{i,S} \cdot sk_{i}}) \\
= w \cdot vk^{c}
$$

- \bigcap Security: in z_i , r_i is uniform and perfectly hides *c · λi,^S ·* sk*ⁱ*
- Á We commit to *wⁱ* before revealing it to avoid ROS attacks [DEF+19, BLL+22]
- **2** Can we transpose this to Raccoon?

First attempt

Insecure Threshold Raccoon

- \rightarrow Round 1:
	- 1 Sample short **r***ⁱ*
	- $\mathbf{v}_i = [\mathbf{A} \quad \mathbf{I}] \cdot \mathbf{r}_i$
	- \bullet com_{*i*} = $H_{\text{com}}(\mathbf{w}_i, \text{msg}, \mathcal{S})$
	- 4 Broadcast com*ⁱ*
- **→ Round 2:**
	- 1 Broadcast **w***ⁱ*
- $→$ Round 3:
	- $\mathbf{u} = \sum_i \mathbf{w}_i$
	- $c = H(vk, msg, w)$
	- $\mathbf{3}$ $\mathbf{z}_i = \mathbf{r}_i + c \cdot \lambda_i \cdot \mathbf{sk}_i$
	- 4 Broadcast **z***ⁱ*
- **→ Combine:** the final signature is $(c, z = \sum_{i \in S} z_i)$

 \mathbb{R}^n SHIELD

 (4)

- \blacktriangleright This gives valid Raccoon signatures (up to slight parameter changes)
- **A** Issue: when we consider

$$
\mathbf{z}_i = \mathbf{r}_i + c \cdot \lambda_i \cdot \mathbf{S} \mathbf{k}_i,
$$

*r*_{*i*} is small whereas $c \cdot \lambda_i \cdot$ sk_{*i*} is large.

- \blacktriangleright Breaks the security proof
- ¨ For a fixed *i*, with enough **z***ⁱ* of the form in (4) one can recover sk*ⁱ*
- $\frac{1}{\sqrt{1}}$ This is the crossroads of the talk
- **2** Can we add to each **z** a value Δ_i such that:
	- \blacksquare Any set of $<$ $\mathcal T$ values Δ_i is uniformy random?
	- 2 ∑ *ⁱ∈S* ^Δ*ⁱ* ⁼ **⁰**?
	- Lets call (Δ*i*)*i∈S* a zero‐share.

- ǹ Users *i* and *j* share a symmetric key *Ki,^j* , and generate a fresh **m***i,^j* = *PRF*(*Ki,^j , sid*) each signing session
- ¿ Each user knows all **m***i,^j* 's on their corrresponding row and column

: PQSHIELD

ǹ Users *i* and *j* share a symmetric key *Ki,^j* , and generate a fresh **m***i,^j* = *PRF*(*Ki,^j , sid*) each signing session

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 \blacktriangleright $(\Delta_1, \ldots, \Delta_T)$, where each $\Delta_i = \mathbf{m}_i - \mathbf{m}_i^*$, is a secret-sharing of $\mathbf{0}$ \bigcap For each (i,j) , the mask $\mathsf{m}_{i,j}$ remains secret if i and j are not corrupted

Second attempt

Threshold Raccoon

→ Round 1:

1 Sample short **r***ⁱ*

$$
\bullet \mathbf{w}_i = [\mathbf{A} \quad \mathbf{I}] \cdot \mathbf{r}_i
$$

- \bullet com_{*i*} = $H_{\text{com}}(\mathbf{w}_i, \text{msg}, \mathcal{S})$
- 4 Broadcast com*ⁱ*

$$
\rightarrow
$$
 Round 2: Broadcast w_i

- **→ Round 3:**
	- $\mathbf{v} = \sum_i \mathbf{w}_i$
	- $2 c = H(vk, msg, w)$ $\Delta_i = \sum_j (\mathsf{m}_{j,i} - \mathsf{m}_{i,j})$
	-

\n- **Q**
$$
z_i = r_i + c \cdot \lambda_i \cdot sk_i + \Delta_i
$$
\n- **B** Broadcasting
\n

→ Combine: the final signature is $(c, z = \sum_{i \in S} z_i)$

- :: PQ SHIELD
- \blacktriangleright This gives valid Raccoon signatures:

$$
\mathbf{z} = \sum_{i \in S} \mathbf{z}_i + \Delta_i
$$

=
$$
\sum_{i \in S} (\mathbf{r}_i + c \cdot \lambda_i \cdot sk_i + \Delta_i)
$$

=
$$
c \cdot sk + \sum_{i \in S} \mathbf{r}_i
$$

 \bullet This negates the previous attack

Second attempt

∷.^{PQ}SHIELD

- **Threshold Raccoon**
- \rightarrow Round 1:
	- 1 Sample short **r***ⁱ*
	- $\mathbf{v}_i = \begin{bmatrix} A & I \end{bmatrix} \cdot \mathbf{r}_i$
	- \bullet com_{*i*} = $H_{\text{com}}(\mathbf{w}_i, \text{msg}, \mathcal{S})$
	- 4 Broadcast com*ⁱ*
- ² **Round 2:** Broadcast **w***ⁱ* and signature of view of Round 1
- **→ Round 3:**
	- $\mathbf{v} = \sum_i \mathbf{w}_i$
	- $2 c = H(vk, msg, w)$
	- $\Delta_i = \sum_j (\mathsf{m}_{j,i} \mathsf{m}_{i,j})$
	- \sum_i **z**_{*i*} = **r**_{*i*} + *c* · λ_i · sk_{*i*} + Δ_i

5 Broadcast **z***ⁱ*

→ Combine: the final signature is $(c, z = \sum_{i \in S} z_i)$

 \blacktriangleright This gives valid Raccoon signatures:

$$
z = \sum_{i \in S} z_i + \Delta_i
$$

=
$$
\sum_{i \in S} (r_i + c \cdot \lambda_i \cdot sk_i + \Delta_i)
$$

=
$$
c \cdot sk + \sum_{i \in S} r_i
$$

- \bigcap This negates the previous attack
- \bigcap One last thing: we sign the view of Round 1 to avoid a fork attack
	- \blacktriangleright In [KRT24], the PRF is tweaked so that no signature is needed

Second attempt

∷. PQ SHIELD

- **Threshold Raccoon** \rightarrow Round 1: 1 Sample short **r***ⁱ* $\mathbf{v}_i = \begin{bmatrix} A & I \end{bmatrix} \cdot \mathbf{r}_i$ \bullet com_{*i*} = $H_{\text{com}}(\mathbf{w}_i, \text{msg}, \mathcal{S})$ 4 Broadcast com*ⁱ* ² **Round 2:** Broadcast **w***ⁱ* and signature of view of Round 1 **→ Round 3:** $\mathbf{v} = \sum_i \mathbf{w}_i$ $2 c = H(vk, msg, w)$ $\Delta_i = \sum_j (\mathsf{m}_{j,i} - \mathsf{m}_{i,j})$ \sum_i **z**_{*i*} = **r**_{*i*} + *c* · λ_i · sk_{*i*} + Δ_i 5 Broadcast **z***ⁱ* **→ Combine:** the final signature is $(c, z = \sum_{i \in S} z_i)$
	- \blacktriangleright This gives valid Raccoon signatures:

$$
z = \sum_{i \in S} z_i + \Delta_i
$$

=
$$
\sum_{i \in S} (r_i + c \cdot \lambda_i \cdot sk_i + \Delta_i)
$$

=
$$
c \cdot sk + \sum_{i \in S} r_i
$$

- \bigcap This negates the previous attack
- \bigcap One last thing: we sign the view of Round 1 to avoid a fork attack
	- \blacktriangleright In [KRT24], the PRF is tweaked so that no signature is needed
- \bigcap We can prove security under MSIS and Hint‐MLWE

Final observations for Threshold Raccoon

\cdots PQ SHIELD

- **Sizes: about 10 KB**
- **Speed:** very fast (bottleneck is generating *T* pseudorandom vectors per user)
- **⁸** Rounds: 3 rounds
	- ¨ Reduced to 2 in [EKT24, BKL⁺24], but communications increases by a factor *[×]*¹⁰
- **^c** Communication: 40 KB per user
- **?** Distributed key generation: ?
- **?** Robustness or IA: How do we check the computation $PRF(K_{i,j}, sid)$?

Further reading:

- Ƃ del Pino, Katsumata, Maller, Mouhartem, Prest, Saarinen. *Threshold Raccoon: Practical Threshold Signatures from Standard Lattice Assumptions*. EUROCRYPT 2024 [DKM⁺24]
- Ƃ Espitau, Katsumata, Takemure. *Two‐Round Threshold Signature from Algebraic One‐More Learning with Errors*. CRYPTO 2024 [EKT24]
- Ƃ Katsumata, Reichle, Takemure. *Adaptively Secure 5 Round Threshold Signatures from MLWE/MSIS and DL with Rewinding*. CRYPTO 2024 [KRT24]

The key technical challenge is to mask a secret ($\lambda_i \cdot$ sk_i) with the randomness \mathbf{r}_i .

Direction 1 (Threshold Raccoon):

- ¨ The shares of the secret are **uniform**
- ¨ The randomness shares **r***ⁱ* are **short**

 ${\sf A}$ **uniform** zero-share Δ_i is added to partial signatures in order to hide $\lambda_i \cdot$ sk $_i$.

² **Direction 2:** Can we make both *λⁱ ·* sk*ⁱ* and **r***ⁱ* **uniform**?

¨ Use Shamir secret sharing for both sk and **r** *⇒* This section

- ³ **Direction 3:** Can we make both *λⁱ ·* sk*ⁱ* and **r***ⁱ* **short**?
	- ¨ Use short secret sharing for both sk and **r** *⇒* Next section

Shamir Everywhere

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Similar to [CGJ+99, JL00, AF04]

Security: [**r**]], is uniform and therefore
hides als hides sk*ⁱ*

This protocol can be augmented to achieve **robustness**

- **→ Adds a** *complaint* round
- \rightarrow Adds a V3S (Verifiable Short Secret Sharing) inspired from [ABCP23, GHL22]
	- > Lighter than NIZK
- **→ Same ideas can be used for DKG**

Final observations for Flood-and-Submerse

∷...
∷....
SHIELD

- **Sizes:** About 12 KB
- **3** Speed: Very fast (bottleneck is generating *T* ciphertext per user)
- **⁸** Rounds: 4 rounds
- **^{** \bullet **}** Communication: 56 \cdot T KB per user
- **³** Distributed key generation: Yes
- **⁸** Robustness: Yes

Further reading:

Ƃ Thomas Espitau, Guilhem Niot, Thomas Prest. *Flood and Submerse: Distributed Key Generation and Robust Threshold Signature from Lattices*. CRYPTO 2024 [ENP24]

Different types of secret sharings

 $\bullet\quad\bullet\quad\bullet$

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Different types of secret sharings

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Shamir secret sharing:

 \rightarrow Share: $x_i = P(i)$, where $P(0) = x$

 \rightarrow The shares x_i and reconstruction vector λ_s may be large

Different types of secret sharings

"Short" secret sharing: we require that:

- ¹ If *x* is short, the shares *xⁱ* are short
- 2 The reconstruction vector λ_{*S*} is short

Example: *N*‐out‐of‐*N* sharing where:

→ $x_1, \ldots, x_{N-1} \leftarrow D_{\sigma}^{N-1}$, and $x_N = x - \sum_{i=1}^{N} x_i$ *i<N* $\rightarrow \lambda_S = (1, \ldots, 1)$ Extensible to *T*‐out‐of‐*N* via replicated SS, requires $\binom{N}{T-1}$ shares per party.

PO

Threshold Raccoon with short shares

Threshold Raccoon, short shares

Round 1:

- 1 Sample short **r***ⁱ*
- $\mathbf{Q} \mathbf{w}_i = \begin{bmatrix} \mathbf{A} & \mathbf{I} \end{bmatrix} \cdot \mathbf{r}_i$
- \bullet com_{*i*} = $H_{\mathsf{com}}(\mathsf{w}_i, \mathsf{msg}, \mathcal{S})$
- 4 Broadcast com*ⁱ*
- Round 2:
	- 1 Broadcast **w***ⁱ*
- Round 3:

\n- **①**
$$
w = \sum_i w_i
$$
\n- **②** $c = H(vk, \text{msg}, w)$
\n- **②** $z_i = r_i + c \cdot sk_i$
\n- **②** Broadcasting
\n

Combine: the final signature is $(c, z = \sum_{i \in S} z_i)$

For simplicity, we consider $T = N$ \sum Each $\lambda_i = 1$

Identifiable aborts

- \rightarrow Each vk_i = $\begin{bmatrix} A & I \end{bmatrix} \cdot$ sk_i is a valid public key
- \rightarrow Therefore each (c, z_i) is a valid partial signature
- \rightarrow We get identifiable aborts for free!

Security

- → **r**_{*i*} hides *c* · sk_{*i*} as both are short
- \rightarrow We argue security via Hint-MLWE

Consider the sum of *T* i.i.d. Gaussian vectors $\mathbf{x}_i \leftarrow D_o^n$. **What can se say about its norm?**

 \bullet

: POSHIELD

Consider the sum of *T* i.i.d. Gaussian vectors $\mathbf{x}_i \leftarrow D_o^n$. **What can se say about its norm?**

Figure 1: Average‐case: *O*(*√ T*)

Figure 2: Worst‐case: *O*(*T*)

 \blacktriangleright Signatures by honest signers would end up in Fig. 2 $\mathsf{\times}$ But colluding signers could force the Fig. 1 This will decrease security. Can we do better?

If \mathbf{x} ← D^n_{σ} , it is well known that™:

If \mathbf{x} ← D^n_{σ} , it is well known that™: ¹ *∥***x***∥* is concentrated around its expected value *σ √ n*

٠ \bullet

::PRSHIELD

- If \mathbf{x} ← D^n_{σ} , it is well known that™:
	- **1 µ** \parallel *x* \parallel is concentrated around its expected value *σ √ n*

2 For any vector **y**:

 $\langle \mathbf{x}, \mathbf{y} \rangle < \sigma \sqrt{O(\lambda)} ||\mathbf{y}||$ (5)

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except with probability *≤* 2 *−λ*

The Death Star Algorithm

 For each signer *i*: If *∥***x***i∥ ≥* (1 + *o*(1))*σ √ n*, reject *i* If $\langle \mathbf{x}_i, \mathbf{y}_i \rangle \geq \sigma \sqrt{O(\lambda)}$ $\|\mathbf{y}_i\|$, where $\mathbf{y}_i = \sum_{j \neq i} \mathbf{x}_j$, reject *i*

Lemma: for a set of non‐rejected $(\mathbf{x}_i)_{i \in [T]},$ the sum $\mathbf{x} = \sum_i \mathbf{x}_i$ satistifes:

$$
\|\mathbf{x}\| \leq \sigma \cdot T \cdot \sqrt{2\log 2 \cdot \lambda} \qquad (5)
$$

$$
+\,\sigma\cdot\sqrt{T\cdot d}\cdot(1+\epsilon)\qquad \qquad (6)
$$

Comparison with standard approaches

PQ SH

Figure 3: Norm of $\mathbf{x} = \sum_{i \in [T]} \mathbf{x}_i$, for $\sigma = 1$, dimension $n = 4096$, $\lambda = 128$ bits of security, and 1 *≤ T ≤* 1000.

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