## Fast Fourier Sampling and its Applications

**Thomas Prest** 



Lattices: From Theory to Practice

## Motivation: GPV signatures over NTRU lattices



### This talk: step 2 of Sign

### $Keygen(1^{\lambda})$

Gen. matrices A, B s.t.:
 BA = 0
 B has small coefficients
 pk := A, sk := B

Sign(msg, sk = B)

- Compute **c** such that  $c\mathbf{A} = H(msg)$
- **2**  $\mathbf{v} \leftarrow \text{vector in } \mathcal{L}(\mathbf{B}), \text{ close to } \mathbf{c}$

$$\mathbf{3} \ \mathsf{sig} := \mathbf{s} = (\mathbf{c} - \mathbf{v})$$

Verify(msg, pk = B, sig = s)

Check (s short) & (sA = H(msg))



## Motivation: GPV signatures over NTRU lattices



### Thomas Pornin's talk: step 10 of Keygen

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### Alexandre's talk: the whole enchilada

### $\textbf{Keygen}(1^{\lambda})$

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### Focus of this talk:

Given **B** and **c**, how do we efficiently (and securely) compute  $\mathbf{v} \in \mathcal{L}(\mathbf{B})$  close to **v**?

### Two parts:

- 1 Fast Fourier orthogonalization [DP16]
  - > Purely algorithmic/algebraic
- 2 From FFO to fast Fourier sampling [Pre17, PFH+17]
  - > Statistical arguments (Rényi divergence)

# Fast Fourier Orthogonalization

### Gram-Schmidt orth. (GSO):

Given **B**  $\in \mathbb{R}^{n \times m}$  full-rank, compute:

$$\mathbf{B} = \mathbf{L} \times \tilde{\mathbf{B}}$$
(1)

where:

- → L is lower triangular with 1's on its diagonal
- $\rightarrow \tilde{\mathbf{B}}$  has orthogonal rows

Can be done in time  $O(mn^2)$ 

### LDL decomposition:

Given  $\boldsymbol{G} \in \mathbb{C}^{n \times n}$  self-adjoint (i.e.  $\boldsymbol{G}^* = \boldsymbol{G}$  ), compute:

$$\mathbf{G} = \mathbf{L} imes \tilde{\mathbf{D}} imes \mathbf{L}^{*}$$
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**Fun fact 1:** When  $\mathbf{G} = \mathbf{B} \times \mathbf{B}^*$ , the GSO and LDL are equivalent.

**Fun fact 2:** The GSO and LDL generalize to rings/fields of the form  $\mathbb{Q}[x]/(\phi)$  with adequate definitions of adjoint/inner product.

How to compute efficiently a close vector:

RoundOff(B, c) 1  $\mathbf{t} \leftarrow \mathbf{c} \cdot \mathbf{B}^{-1}$ 2 For  $j \in \{n, \dots, 1\}$ : 1  $z_j \leftarrow \lfloor t_j \rfloor$ 3 Return  $\mathbf{v} := \mathbf{z} \cdot \mathbf{B}$ 







It is common to take matrices/vectors with coefficients in  $R = \mathbb{Z}_q[x]/(\phi)$ , where  $\phi$  can be:

- **1** A convolution polynomial  $x^n 1$
- **2** A cyclotomic polynomial, e.g.  $x^n + 1$  for *n* a power-of-two
- **6** Another polynomial, e.g.  $x^p x 1$  as in NTRU Prime

The techniques we describe provide speed-ups for subsets of (1) and (2) (tower of rings), but not (3).

We focus on  $\phi = x^n + 1$  with  $n = 2^{\kappa}$ , and note  $\mathbb{K}_n = \mathbb{Q}[x]/(x^n + 1)$ .

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Our goal: provide a faster NearestPlane algorithm over towers of rings:

- Ompact representation of the orthogonalization
- **2** How to use this compact representation

Generalize to module lattices (with base ring a tower of rings).

If no obvious way to exploit the ring structure, one can map everything to  $\mathbb{Z}$  (or  $\mathbb{Q}$ ). For example, this ring endomorphism

$$\begin{array}{rcccc} T: & \mathbb{K}_4 & \to & \mathbb{K}_4 \\ & \boldsymbol{g}(x) & \mapsto & (a+bx+cx^2+dx^3) \cdot \boldsymbol{g}(x) \end{array}$$

can be interpreted as the endomorphism of  $\mathbb{Q}^4$  with this associated matrix over the canonical basis  $\{1, x, x^2, x^3\}$ :



Problem: the power basis is not adequate for GSO/LDL!



**Consequence:** not obvious that the ring structure provide a gain O(n):

- → in storage (storing L)
- → in computation (using L in NearestPlane())

Lets find a better representation!

**Observation:** Representing *T* in the basis  $\{1, x^2, x, x^3\}$  instead of  $\{1, x, x^2, x^3\}$  gives:

$$\begin{bmatrix} a & c & b & d \\ -c & a & -d & b \\ \hline -d & b & a & c \\ -b & -d & -c & a \end{bmatrix}$$

**More formally:** If we write  $f \in \mathbb{K}_n$  in the  $\mathbb{K}_{n/2}$ -basis  $\{1, x\}$ :

$$f(x) = f_0(x^2) + x \cdot f_1(x^2)$$
(4)

(3)

(5)

with  $f_0, f_1 \in \mathbb{K}_{n/2}$ , the transformation matrix of  $T : g \in \mathbb{K}_n \mapsto f \cdot g$  is:

$$\begin{bmatrix} \mathbf{f}_0 & \mathbf{f}_1 \\ \hline \mathbf{x} \cdot \mathbf{f}_1 & \mathbf{f}_0 \end{bmatrix}$$

Note: This change of basis is a ring morphism that is also an isometry!

Fun fact 3: Distinct morphisms allow various levels of granularity.

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So what's the point? We combine the facts 1 to 3:

- $\textcircled{1} \mathsf{GSO} \Leftrightarrow \mathsf{LDL}$
- 2 We can generalize the GSO/LDL to rings like  $\mathbb{K}_n$
- **6**  $\mathbb{K}_n \cong (\mathbb{K}_{n'})^{n/n'}$  via an isomorphism that is also an isometry.

Suppose we have a nega-circulant Gram matrix.

Step 1: "break" the matrix

Suppose we have a nega-circulant Gram matrix.

**Step 1:** "break" the matrix **Step 2:** Orthogonalize over  $\mathbb{K}_{n/2}$ 



 $\mathbf{D}_0$ 

**Step 3:** Store non-trivial coeffs of **L** and recurse on  $D_0$ ,  $D_1$ .

**Complexity:**  $O(n \log n)$  in storage and computation (always stay in FFT).

### FFNearestPlane(T, t) - informal

Orthogonalization data can be stored in a tree **T**:

- Computing T on-the-fly reduces storage cost to O(n) [PFH<sup>+</sup>17, GM18, OSHG19, Por19]
- → By tweaking ②, t and z can share the same buffer (no  $\bar{t}_0$ )



# Fast Fourier Sampling

### To make (fast Fourier) nearest plane secure, combine it with Gaussians:



### **Applications:**

- → Signatures (Falcon [PFH+17])
- → (H)IBE (ETSI proposal LATTE)

- → Ring signatures (Raptor [LAZ19])
- → Group signatures [dLS18], etc.

### To make (fast Fourier) nearest plane secure, combine it with Gaussians:



### **Applications:**

- → Signatures (Falcon [PFH+17])
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### **Practical questions:**

- → How large should be the Gaussian?
- → What about the floating-point precision?

We address both questions w/ a Rényi divergence analysis [BLL+15, Pre17].

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## The Rényi Divergence



(6)

## **Definition.** For $\alpha \in (1, +\infty)$ , the Rényi divergence between two distributions $\mathcal{P}, \mathcal{Q}$ is

$$\mathsf{R}_{\alpha}(\mathcal{P} \| \mathcal{Q}) = \left( \sum_{\mathsf{x} \in Supp(\mathcal{P})} \frac{\mathcal{P}(\mathsf{x})^{\alpha}}{\mathcal{Q}(\mathsf{x})^{\alpha-1}} \right)^{\frac{1}{\alpha-1}}$$

**Motivation.** Consider a scheme doing q queries to a distribution  $\mathcal{D}_i$ , note  $\epsilon_i$  the prob. of an event breaking the scheme and  $\epsilon_{ldeal} = 2^{-\lambda}$ .

→ With the statistical distance:

$$\epsilon_{\textit{Ideal}} \geq \epsilon_{\textit{Real}} - q\Delta_{\textit{SD}}(\mathcal{D}_{\textit{Real}}, \mathcal{D}_{\textit{Ideal}})$$
 Take  $\Delta_{\textit{SD}} \leq 2^{-\lambda}$  (

→ With the Rényi divergence:

$$\epsilon_{Ideal} \ge \epsilon_{Real}^{\frac{\alpha}{\alpha-1}} / R_{\alpha} (\mathcal{D}_{Real} \| \mathcal{D}_{Ideal})^{q} \quad \text{Take } (\alpha \ge \lambda) \& (R_{\alpha} \le 1 + 1/q)$$
 (8)

**Use when:** Search problem + moderate number of queries (e.g.  $\leq 2^{64}$ )



We combine FFNearestPlane with Gaussian rounding to (hopefully) obtain a discretized Gaussian of standard deviation  $\sigma$ .



σ too small ⇒ vulnerable to learning attacks [NR06, DN12]
 σ too large ⇒ suboptimal for cryptography

### Standard deviation analysis





For the example of Falcon and  $q = 2^{64}$ , we gain about 30 bits of security (compared to the SD).

## **Precision analysis**



We note *Ideal* (resp. *Real*) the output of fast Fourier sampling with infinite (resp. finite) precision.

**Statistical distance analysis:** If the (absolute) precision loss is  $|x - \bar{x}| < \delta$ :

 $\Delta_{SD}(Real, Ideal) = \delta \cdot poly(n, \dots)$ (9)

This entails a *bit* precision of  $\lambda + polylog(n, ...)$ , unacceptable in practice.

Rényi divergence analysis: Under the same conditions:

$$R_{\alpha}(\text{Real} \| \text{Ideal}) = 1 + \alpha \cdot \delta^{2} \cdot \text{poly}(n, \dots)$$
(10)

Combining that with:

$$R_{\alpha}(\text{Real}||\text{Ideal})^{q} \cdot \varepsilon_{\text{Ideal}} \geq \varepsilon_{\text{Real}}^{\alpha/(\alpha-1)}$$
(11)

gives a *bit* precision of  $(\log_2 \lambda q)/2 + polylog(n,...)$  for a security loss O(1).

|                  | Statistical distance                        | Rényi divergence                                  |
|------------------|---|---|
| Sec = f(std dev) | $\lambda = \Theta(\sigma^2)$                | $\lambda = rac{1}{q} \cdot e^{\Theta(\sigma^2)}$ |
| Bit precision    | $\boldsymbol{\lambda} + \textit{polylog}()$ | $\frac{\log_2 \lambda q}{2} + polylog()$          |

Conclusion

### **Related works:**

- → Faster Gaussian Sampling for Trapdoor Lattices with Arbitrary Modulus [GM18]
  - > Applies similar ideas to the Micciancio-Peikert framework
- → Algebraic and Euclidean Lattices: Optimal Lattice Reduction and Beyond [KEF19]
  - > Applies similar ideas to LLL over tower rings

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### **Open questions:**

- Oryptanalytic applications beyond [KEF19]?
- 2 Getting rid of floating-point arithmetic?
  - 1 Micciancio-Peikert trapdoors?
  - 2 Iterating from [DGPY19]?
- Image: 8 Masking?





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