# Fast Fourier Sampling <br> and its Applications 

Thomas Prest

Lattices: From Theory to Practice

## Motivation: GPV signatures over NTRU lattices

This talk: step (2) of Sign

Keygen $\left(1^{\lambda}\right)$
(1) Gen. matrices $\mathbf{A}, \mathbf{B}$ s.t.:
$\operatorname{Verify}(m s g, p k=B, s i g=s)$
Check (s short) \& (sA = H(msg))


## Motivation: GPV signatures over NTRU lattices

Thomas Pornin's talk: step (1) of Keygen

Keygen( $1^{\lambda}$ )
(1) Gen. matrices $\mathbf{A}, \mathbf{B}$ s.t.:
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## Motivation: GPV signatures over NTRU lattices

Alexandre's talk: the whole enchilada

Keygen( $1^{\lambda}$ )
(1) Gen. matrices $\mathbf{A}, \mathbf{B}$ s.t.:
$\operatorname{Verify}(\boldsymbol{m s g}, p k=B, s i g=s)$
Check (s short) \& ( $\mathbf{s A}=\mathrm{H}(\mathrm{msg})$ )


## Focus of this talk:

Given $\mathbf{B}$ and $\mathbf{c}$, how do we efficiently (and securely) compute $\mathbf{v} \in \mathcal{L}(\mathbf{B})$ close to $\mathbf{v}$ ?

## Two parts:

(1) Fast Fourier orthogonalization [DP16]
> Purely algorithmic/algebraic
(2) From FFO to fast Fourier sampling [Pre17, $\mathrm{PFH}^{+}$17]
>Statistical arguments (Rényi divergence)

$$
\begin{gathered}
\text { Fast Fourier } \\
\text { Orthogonalization }
\end{gathered}
$$

## Gram-Schmidt orth. (GSO):

Given $\mathbf{B} \in \mathbb{R}^{n \times m}$ full-rank, compute:

$$
\begin{equation*}
\mathbf{B}=\mathbf{L} \times \tilde{\mathbf{B}} \tag{1}
\end{equation*}
$$

where:
$\rightarrow$ L is lower triangular with 1's on its diagonal
$\rightarrow \tilde{\mathbf{B}}$ has orthogonal rows
Can be done in time $O\left(\mathrm{mn}^{2}\right)$

## LDL decomposition:

Given $\mathbf{G} \in \mathbb{C}^{n \times n}$ self-adjoint (i.e. $\mathbf{G}^{*}=\mathbf{G}$, compute:

$$
\begin{equation*}
\mathbf{G}=\mathbf{L} \times \tilde{\mathbf{D}} \times \mathbf{L}^{*} \tag{2}
\end{equation*}
$$

where:
$\rightarrow \mathbf{L}$ is lower triangular with 1's on its diagonal
$\rightarrow \mathbf{D}$ is diagonal
Can be done in time $O\left(n^{3}\right)$

## Gram-Schmidt orth. (GSO):

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Can be done in time $O\left(n^{3}\right)$

Fun fact 1: When $\mathbf{G}=\mathbf{B} \times \mathbf{B}^{*}$, the GSO and LDL are equivalent.
Fun fact 2: The GSO and LDL generalize to rings/fields of the form $\mathbb{Q}[x] /(\phi)$ with adequate definitions of adjoint/inner product.

How to compute efficiently a close vector:

## RoundOff(B, c)

(1) $\mathbf{t} \leftarrow \mathbf{C} \cdot \mathbf{B}^{-1}$
(2) For $j \in\{n, \ldots, 1\}$ :
(1) $z_{j} \leftarrow\left\lceil t_{j}\right\rfloor$
(3) Return $\mathbf{v}:=\mathbf{z} \cdot \mathbf{B}$

## NearestPlane(B, L, c)

(1) $\mathbf{t} \leftarrow \mathbf{c} \cdot \mathbf{B}^{-1}$
(2) For $j \in\{n, \ldots, 1\}$ :
(1) $z_{j} \leftarrow\left\lceil t_{j}+\sum_{i>j}\left(t_{1}-z_{i}\right) L_{i, j}\right\rfloor$
(3) Return $\mathbf{v}:=\mathbf{z} \cdot \mathbf{B}$


It is common to take matrices/vectors with coefficients in $R=\mathbb{Z}_{q}[\mathrm{x}] /(\phi)$, where $\phi$ can be:
(1) A convolution polynomial $x^{n}-1$
(2) A cyclotomic polynomial, e.g. $x^{n}+1$ for $n$ a power-of-two
(3) Another polynomial, e.g. $x^{p}-x-1$ as in NTRU Prime

The techniques we describe provide speed-ups for subsets of (1) and (2) (tower of rings), but not ${ }^{3}$.

We focus on $\phi=x^{n}+1$ with $n=2^{k}$, and note $\mathbb{K}_{n}=\mathbb{Q}[x] /\left(x^{n}+1\right)$.

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Our goal: provide a faster NearestPlane algorithm over towers of rings:
(1) Compact representation of the orthogonalization
(2) How to use this compact representation

Generalize to module lattices (with base ring a tower of rings).

If no obvious way to exploit the ring structure, one can map everything to $\mathbb{Z}$ (or $\mathbb{Q}$ ). For example, this ring endomorphism

$$
\begin{aligned}
T: \mathbb{K}_{4} & \rightarrow \mathbb{K}_{4} \\
\boldsymbol{g}(x) & \mapsto\left(a+b x+c x^{2}+d x^{3}\right) \cdot \boldsymbol{g}(x)
\end{aligned}
$$

can be interpreted as the endomorphism of $\mathbb{Q}^{4}$ with this associated matrix over the canonical basis $\left\{1, x, x^{2}, x^{3}\right\}$ :

$$
\left(\begin{array}{cccc}
1 & x & x^{2} & x^{3} \\
a & b & c & d \\
-d & a & b & c \\
-c & -d & a & b \\
-b & -c & -d & a
\end{array}\right)^{1}{ }_{x}^{x}
$$

Problem: the power basis is not adequate for GSO/LDL!


Consequence: not obvious that the ring structure provide a gain $\tilde{O}(n)$ :
$\rightarrow$ in storage (storing L)
$\rightarrow$ in computation (using Lin NearestPlane())
Lets find a better representation!

Observation: Representing $T$ in the basis $\left\{1, x^{2}, x, x^{3}\right\}$ instead of $\left\{1, x, x^{2}, x^{3}\right\}$ gives:

$$
\left[\begin{array}{cc|cc}
a & c & b & d  \tag{3}\\
-c & a & -d & b \\
\hline-d & b & a & c \\
-b & -d & -c & a
\end{array}\right]
$$

More formally: If we write $\boldsymbol{f} \in \mathbb{K}_{n}$ in the $\mathbb{K}_{n / 2}$-basis $\{1, x\}$ :

$$
\begin{equation*}
\boldsymbol{f}(x)=\boldsymbol{f}_{0}\left(x^{2}\right)+x \cdot f_{1}\left(x^{2}\right) \tag{4}
\end{equation*}
$$

with $\boldsymbol{f}_{0}, \boldsymbol{f}_{1} \in \mathbb{K}_{\mathrm{n} / 2}$, the transformation matrix of $T: \boldsymbol{g} \in \mathbb{K}_{\mathrm{n}} \mapsto \boldsymbol{f} \cdot \boldsymbol{g}$ is:

$$
\left[\begin{array}{c|c}
f_{0} & f_{1}  \tag{5}\\
\hline x \cdot f_{1} & f_{0}
\end{array}\right]
$$

Note: This change of basis is a ring morphism that is also an isometry!

Fun fact 3: Distinct morphisms allow various levels of granularity.

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$$
\overbrace{\left[\begin{array}{cccccccc}
a & b & c & d & 0 & -d & -c & -b \\
b & a & b & c & d & 0 & -d & -c \\
c & b & a & b & c & d & 0 & -d \\
d & c & b & a & b & c & d & 0 \\
0 & d & c & b & a & b & c & d \\
-d & 0 & d & c & b & a & b & c \\
-c & -d & 0 & d & c & b & a & b \\
-b & -c & -d & 0 & d & c & b & a
\end{array}\right]} \quad \overbrace{\left[\begin{array}{cc|cc|cc|cc}
a & 0 & c & -c \mid c c c & b & -d & d & -b \\
0 & a & c & c & d & b & b & d \\
\hline c & c & a & 0 & b & d & b & -d \\
-c & c & 0 & a & -d & b & d & b \\
\hline b & d & b & -d & a & 0 & c & -c \\
-d & b & d & b & 0 & a & c & c \\
\hline d & b & b & d & c & c & a & 0 \\
-b & d & -d & b & -c & c & 0 & a
\end{array}\right]}^{\left\{\begin{array}{cc|cc|c|cc}
4 & \\
\hline
\end{array}\right]}
$$

Fun fact 3: Distinct morphisms allow various levels of granularity.

| $\left\{1, x, \ldots, x^{7}\right\}$ |  | $\left\{1, x^{4}\right.$ | $x^{2}, x^{6}$ |  | $\left.x^{3}, x^{7}\right\}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\left[\begin{array}{cccccccc} a & b & c & d & 0 & -d & -c & -b \\ b & a & b & c & d & 0 & -d & -c \\ c & b & a & b & c & d & 0 & -d \\ d & c & b & a & b & c & d & 0 \\ 0 & d & c & b & a & b & c & d \\ -d & 0 & d & c & b & a & b & c \\ -c & -d & 0 & d & c & b & a & b \\ -b & -c & -d & 0 & d & c & b & a \end{array}\right]$ |  | $\begin{array}{ll}a & 0 \\ 0 & \end{array}$ | $c^{c}-{ }^{-c}$ |  | $\left\lvert\, \begin{array}{cc}d & -b \\ b & d\end{array}\right.$ |
|  |  |  | 0 c | $d \quad b$ | $b$ b d |
|  |  | ${ }^{\circ} \mathrm{c}$ | $a 0$ | $b$ d | b -d |
|  |  | -c c | 0 | -d b | d |
|  |  | $b$ | b -d | $a 0$ | c ${ }^{-c}$ |
|  |  | -d | d | 0 | c |
|  |  | d b | $b$ | c | a 0 |
|  |  | -b ${ }^{-}$ | -d |  | 0 |

So what's the point? We combine the facts 1 to 3 :
(1) GSO $\Leftrightarrow$ LDL
(2) We can generalize the GSO/LDL to rings like $\mathbb{K}_{n}$
(3) $\mathbb{K}_{n} \cong\left(\mathbb{K}_{n^{\prime}}\right)^{n / n^{\prime}}$ via an isomorphism that is also an isometry.

Suppose we have a nega-circulant Gram matrix.
Step 1: "break" the matrix

$$
\left[\begin{array}{cccccccc}
a & b & c & d & 0 & -d & c & -b \\
b & a & b & c & d & 0 & -d & -c \\
c & b & a & b & c & d & 0 & -d \\
d & c & b & a & b & c & d & 0 \\
0 & d & c & b & a & b & c & d \\
-d & 0 & d & c & b & a & b & c \\
-c & -d & 0 & d & c & b & a & b \\
-b & -c & -d & 0 & d & c & b & a
\end{array}\right] \Rightarrow\left[\begin{array}{cccc|cccc}
a & c & 0 & -c & b & d & -d & -b \\
c & a & c & 0 & b & b & d & -d \\
0 & c & a & c & d & b & b & d \\
-c & 0 & c & a & -d & d & b & b \\
\hline b & b & d & -d & a & c & 0 & -c \\
d & b & b & d & c & a & c & 0 \\
-d & d & b & b & 0 & c & a & c \\
-b & -d & d & b & -c & 0 & c & a
\end{array}\right]
$$

Suppose we have a nega-circulant Gram matrix.
Step 1: "break" the matrix
Step 2: Orthogonalize over $\mathbb{K}_{n / 2}$

Step 3: Store non-trivial coeffs of $\mathbf{L}$ and recurse on $\mathbf{D}_{0}, \mathbf{D}_{1}$.
Complexity: $O(n \log n)$ in storage and computation (always stay in FFT).

## FFNearestPlane( $\mathbf{T}, \boldsymbol{t})$ - informal

(1) If base field is $\mathbb{Q}$, compute $\mathbf{z} \leftarrow$ NearestPlane $\left(\mathbf{I}, \mathbf{L}_{\text {leaf }}=\mathbf{T}\right.$.value, $\left.\boldsymbol{t}\right)$
(2) Else, split $\boldsymbol{t}$ in $\left(\boldsymbol{t}_{0}, \boldsymbol{t}_{1}\right)$
(1) $\mathbf{z}_{1} \leftarrow$ FFNearestPlane $\left(\mathbf{T}_{\text {rightchild }}, \boldsymbol{t}_{1}\right)$
(2) $\overline{\boldsymbol{t}}_{0} \leftarrow \boldsymbol{t}_{0}+\left(\boldsymbol{t}_{1}-\mathbf{z}_{1}\right) \cdot \mathbf{L}$

$$
\text { [with } \mathbf{L}=\mathbf{T} \text {.value] }
$$

(3) $\mathbf{z}_{0} \leftarrow$ FFNearestPlane $\left(\mathbf{T}_{\text {leftchild }}, \overline{\boldsymbol{t}}_{0}\right)$

Return z

Orthogonalization data can be stored in a tree $\mathbf{T}$ :
$\rightarrow$ Computing $\mathbf{T}$ on-the-fly reduces storage cost to $O(n)\left[\mathrm{PFH}^{+} 17\right.$, GM18, OSHG19, Por19]
$\rightarrow$ By tweaking (2, $\boldsymbol{t}$ and $\boldsymbol{z}$ can
 share the same buffer (no $\overline{\boldsymbol{t}}_{0}$ )

$$
\begin{gathered}
\text { Fast Fourier } \\
\text { Sampling }
\end{gathered}
$$

To make (fast Fourier) nearest plane secure, combine it with Gaussians:


## Applications:

$\rightarrow$ Signatures (Falcon [PFH ${ }^{+}$17])
$\rightarrow$ (H)IBE (ETSI proposal LATTE)
$\rightarrow$ Ring signatures (Raptor [LAZ19])
$\rightarrow$ Group signatures [dLS18], etc.

To make (fast Fourier) nearest plane secure, combine it with Gaussians:


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## Practical questions:

$\rightarrow$ How large should be the Gaussian?
$\rightarrow$ What about the floating-point precision?
We address both questions w/ a Rényi divergence analysis [BLL+15, Pre17].

## The Rényi Divergence

Definition. For $\alpha \in(1,+\infty)$, the Rényi divergence between two distributions $\mathcal{P}, \mathcal{Q}$ is

$$
\begin{equation*}
R_{\alpha}(\mathcal{P} \| \mathcal{Q})=\left(\sum_{x \in \operatorname{Supp}(\mathcal{P})} \frac{\mathcal{P}(x)^{\alpha}}{\mathcal{Q}(x)^{\alpha-1}}\right)^{\frac{1}{\alpha-1}} \tag{6}
\end{equation*}
$$

Motivation. Consider a scheme doing $q$ queries to a distribution $\mathcal{D}_{i}$, note $\epsilon_{i}$ the prob. of an event breaking the scheme and $\epsilon_{\text {Ideal }}=2^{-\lambda}$.
$\rightarrow$ With the statistical distance:

$$
\begin{equation*}
\epsilon_{\text {Ideal }} \geq \epsilon_{\text {Real }}-q \Delta_{\text {SD }}\left(\mathcal{D}_{\text {Real }}, \mathcal{D}_{\text {ldeal }}\right) \quad \text { Take } \Delta_{S D} \leq 2^{-\lambda} \tag{7}
\end{equation*}
$$

$\rightarrow$ With the Rényi divergence:

$$
\begin{equation*}
\epsilon_{\text {Ideal }} \geq \epsilon_{\text {Real }}^{\frac{\alpha}{\alpha-1}} / R_{\alpha}\left(\mathcal{D}_{\text {Real }} \| \mathcal{D}_{\text {Ideal }}\right)^{q} \quad \text { Take }(\alpha \geq \lambda) \&\left(R_{\alpha} \leq 1+1 / q\right) \tag{8}
\end{equation*}
$$

Use when: Search problem + moderate number of queries (e.g. $\leq 2^{64}$ )

## Picking the right $\sigma$

We combine FFNearestPlane with Gaussian rounding to (hopefully) obtain a discretized Gaussian of standard deviation $\sigma$.
$\sigma$ too small


The "right" $\sigma$

(1) $\sigma$ too small $\Rightarrow$ vulnerable to learning attacks [NR06, DN12]
(2) $\sigma$ too large $\Rightarrow$ suboptimal for cryptography

## Standard deviation analysis



For the example of Falcon and $q=2^{64}$, we gain about 30 bits of security (compared to the SD).

## Precision analysis

We note Ideal (resp. Real) the output of fast Fourier sampling with infinite (resp. finite) precision.

Statistical distance analysis: If the (absolute) precision loss is $|x-\bar{x}|<\delta$ :

$$
\begin{equation*}
\Delta_{S D}(\text { Real }, \text { Ideal })=\delta \cdot \operatorname{poly}(n, \ldots) \tag{9}
\end{equation*}
$$

This entails a bit precision of $\lambda+\operatorname{polylog}(n, \ldots)$, unacceptable in practice.
Rényi divergence analysis: Under the same conditions:

$$
\begin{equation*}
R_{\alpha}(\text { Real } \| \text { Ideal })=1+\alpha \cdot \delta^{2} \cdot \operatorname{poly}(n, \ldots) \tag{10}
\end{equation*}
$$

Combining that with:

$$
\begin{equation*}
R_{\alpha}(\text { Real } \| \text { Ideal })^{a} \cdot \varepsilon_{\text {Ideal }} \geq \varepsilon_{\text {Real }}^{\alpha /(\alpha-1)} \tag{11}
\end{equation*}
$$

gives a bit precision of $\left(\log _{2} \lambda q\right) / 2+\operatorname{polylog}(n, \ldots)$ for a security loss $O(1)$.

|  | Statistical distance | Rényi divergence |
| :---: | :---: | :---: |
| Sec $=\mathrm{f}($ std dev $)$ | $\lambda=\Theta\left(\sigma^{2}\right)$ | $\lambda=\frac{1}{9} \cdot e^{\Theta\left(\sigma^{2}\right)}$ |
| Bit precision | $\lambda+\operatorname{polylog}(\ldots)$ | $\frac{\log _{2} \lambda a}{2}+\operatorname{polylog}(\ldots)$ |

## Conclusion

## Related works:

$\rightarrow$ Faster Gaussian Sampling for Trapdoor Lattices with Arbitrary Modulus [GM18]
>Applies similar ideas to the Micciancio-Peikert framework
$\rightarrow$ Algebraic and Euclidean Lattices: Optimal Lattice Reduction and Beyond [KEF19]
> Applies similar ideas to LLL over tower rings

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## Open questions:

(1) Cryptanalytic applications beyond [KEF19]?

2 Getting rid of floating-point arithmetic?
(1) Micciancio-Peikert trapdoors?
(2) Iterating from [DGPY19]?
(3) Masking?

## Questions? ๑0

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